

Logistik  
LOAA2

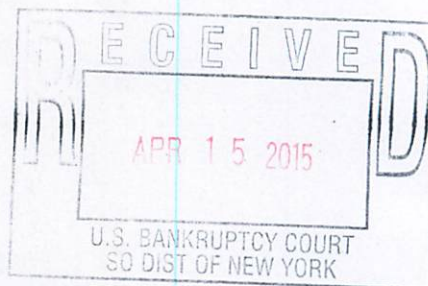


Kontakt	LOAA2
Telefon	044 292 98 92
Fax	044 292 86 64
E-Mail	corporateactions@zkb.ch
Adresse	Postfach 8010 Zürich

**Einschreiben**

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Inc.  
Claims Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York, NY 10017  
USA

Zürich, 7. April 2015



**Partial Transfer of Claim „Lehman Brothers Treasury NV“**

Dear Sir or Madam,

Please find enclosed the originally signed "Notice Pursuant to Bankruptcy Rule 3001" and "Evidence of Transfer of Claim", released by UBS on March 31, 2015.

We kindly ask you to process the partial transfer of claim:

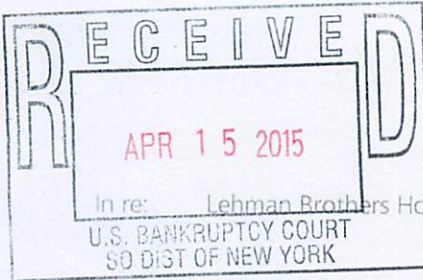
ISIN:	XS0326427480
Titel:	12 % Reverse Convertible Certificates Lehman Br.Sec NV 2007-30.10.08 on a Basket of Shs Srs L-07/643 -In Default-(M)
Nominal:	Nom. -45'000-
Transferor:	UBS
Transferee:	Hans-Heinrich Hilker
Initial Proof of Claim Number:	59233

Best regards  
Zürcher Kantonalbank

Matthias Hafner

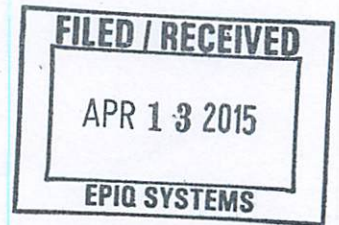
Sandra Azzolina





Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK



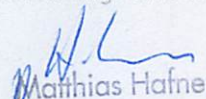
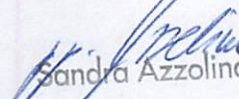
Case No.: 08-13555 (JMP)  
Court ID (Court Use Only)

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> <b>Hilker Hans-Heinrich</b>	<u>Name of Transferor:</u> <b>UBS AG</b>
<u>Notices to Transferee should be sent to:</u>  Hilker Hans-Heinrich Tichelrütistrasse 32 CH-8044 Gockhausen (Switzerland) <b>and</b> Zürcher Kantonalbank LOAV3 P.O. Box 8010 Zurich, Switzerland Fax +41 44 292 86 64 Tel +41 44 292 76 57 Attn: Patrick Heuberger	<u>Court Record Address of the Transferor:</u> (Court use only)
<u>Name and Address where transferee payments should be sent (if different from above):</u>  Hilker Hans-Heinrich Tichelrütistrasse 32 CH-8044 Gockhausen (Switzerland)	<u>Name and Current Address of Transferor</u> UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u>  CHF 45'000.00 (face amount of securities)	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

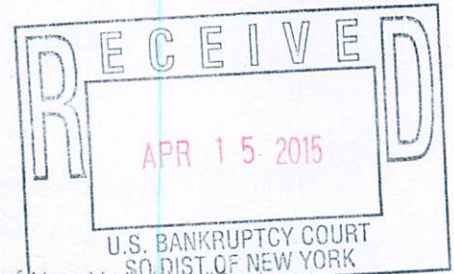
By:  **Mathias Hafner**  
 **Sandra Azzolina**  
Zürcher Kantonalbank  
Transferee's Agent

Date:

**7.4.2015**

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).





EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"): CHF 45'000.00

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Securities NV 2007-30.10.49	XS0326427480	LBS NV	Lehman Bros Holding Inc.	CHF 45'000.00 out of CHF 25'301'000

The aggregate amount of the Transferred Claim is to be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

UBS AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Hilker Hans-Heinrich  
Tichelrütistrasse 32  
CH-8044 Gockhausen (Switzerland)  
Tel: +41 44 821 21 59

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated March 31, 2015.

UBS AG  
Transferor

By:



Name: Stephan Gfeller  
Title: Associate Director

By:



Name: Matthias Mohos  
Title: Associate Director

**R**

Recommandé  
Suisse

PP



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07.04.2015

07.04.15

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Zürich

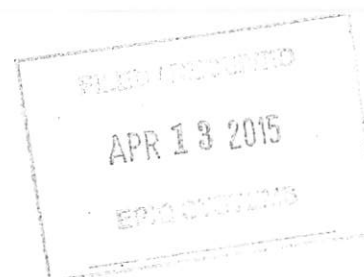
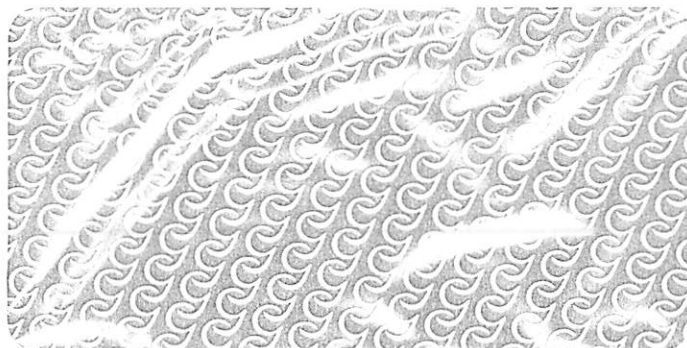
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PRIORITY  
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**DIE POST** 



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